

PATENT  
Customer No. 22,852  
Attorney Docket No. 09232.0001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Douglas W. GERHART, et al. ) Group Art Unit: 3624  
)  
Application No.: 10/667,459 ) Examiner: Not Assigned  
)  
Filed: September 23, 2003 )  
)  
For: COLLATERALIZED LOAN )  
MARKET SYSTEMS AND )  
METHODS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. An error appears in the residence information for the first inventor, Douglas W. Gerhart. Mr. Gerhart's correct residence is –Toronto, CANADA – not "Toronto, CA". The error is identified and corrected in ink on the attached copy of the Filing Receipt.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

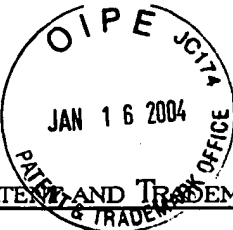
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 16, 2004

By: William J. Brogan  
William J. Brogan  
Reg. No. 43,515



UNITED STATES PATENT AND TRADEMARK OFFICE



*JAB/WJB*  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/667,459	09/23/2003	3624	834	09232.0001	16	20	4

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

**RECEIVED**

DEC 17 2003

CONFIRMATION NO. 9476

FILING RECEIPT



\*OC000000011494619\*

**FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP**

Date Mailed: 12/15/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Douglas W. Gerhart, Toronto, ~~CA~~ CANADA  
Joanna T. Liczyk, Toronto, CANADA;  
Douglas E. Steiner, Toronto, CANADA;

**Assignment For Published Patent Application**

NuCenz Technologies, Inc.;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted: 12/12/2003

Projected Publication Date: 03/24/2005

Non-Publication Request: No

Early Publication Request: No

**Title**

Collateralized loan market systems and methods

*12-17-03*  
*4*

*dated 12/17/03*

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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